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UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

STREPHO-18

Total Pages

First Named Inventor or Application Identifier

Jon R. Lesniak

Express Mail Label No.

EV 015 203 883 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

1. ☒ Fee Transmittal Form
(submit an original, and a duplicate for fee processing)2. ☒ Specification [Total Pages 17]
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

☒ Drawing(s) (356 USC 113) [Total Sheets 3]
Oath or Declaration [Total Pages 1]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

☐ i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and
1.33(b).5. ☐ Incorporation By Reference (useable if Box 4b is checked).
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney10. ☐ English Translation Document (if applicable)11. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503) (
(Should be specifically itemized)14. ☐ Small Entity ☐ Statement filed in prior
Statement(s) application, Status still
proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ Other: Initial Information Data Sheet

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code
Label

020,455

(Insert Customer No. or Attach bar code label
here)or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

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FEE TRANSMITTALNote: Effective October 1, 1997,
Patent fees are subject to annual revision

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Jon R. Lesniak
Group Art Unit	
Examiner Name	

TOTAL AMOUNT OF PAYMENT \$388.00

Attorney Docket Number STREPHO-18

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

15-0660

Deposit
Account Name

Lathrop & Clark

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐
- Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$0.00

FEE CALCULATION

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	\$370.00
105	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$370.00

2. CLAIMS

Extra		Fee from below		Fee Paid	
Total Claims	22	-20=	2	X 9	= \$18.00
Independent Claims	3	-3=	0	X 42	= \$0.00
Multiple Dependent Claims				X 140	= \$0.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent Claims in excess of 3
104	280	204	140	Multiple dependent claims
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$18.00

SUBMITTED BY

Typed or Printed Name

Patrick J. G. Stienon

Signature



Date

January 3, 2002

Complete (if applicable)

Reg. Number

34934

Deposit Account User ID

15-0660

JCS32 U.S. PTO

10/039218

01/03/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jon R. Lesniak
Filed: Simultaneously herewith
For: Achromatic Circular Polarizer
Docket No.: STREPHO-18

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

"Express Mail" Mailing Label No.: EV 015 203 883 US
Date of Deposit: January 3, 2002



I hereby certify that these attached documents

- * Response postcard
- * Check for \$388.00
- * Initial Information Data Sheet (1 p)
- * PTO/SB/05 Utility Patent Application Transmittal (1 p)
- * PTO/SB/17 Fee Transmittal (1 p) (2 copies)
- * Specification and Claims (17 pp) with attached formal drawings (3 sheets) and unexecuted Declaration and Power of Attorney (1 p)
- * Information Disclosure Statement (2 pp)
- * Form PTO/SB/08A and PTO/SB/08B with 4 attached documents

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 35 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Patrick J. G. Stiennon

Enclosed for filing please find the above-referenced new patent application. Please indicate receipt of this application by returning the attached postcard with the official Patent and Trademark Office receipt and serial number stamped thereon.

Respectfully submitted,

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